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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,792	03/26/2004	Kazuo Sugimoto	9683/180

Brinks Hofer Gilson & Lione  
P.O. Box 10395  
Chicago, IL 60610

CONFIRMATION NO. 9618

FORMALITIES LETTER



\*OC000000012883615\*

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/28/2004 HLE333 00000013 10810792

01 FC:1051

130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 23, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kazuo SUGIMOTO et al.

Appln. No.: 10/810,792

Filed: March 26, 2004

For: VIDEO ENCODING APPARATUS, VIDEO  
ENCODING METHOD, VIDEO ENCODING  
PROGRAM, VIDEO DECODING APPARATUS,  
VIDEO DECODING METHOD AND VIDEO  
DECODING PROGRAM

Examiner: To Be Assigned

Art Unit: 2631

Attorney Docket No: 9683/180

Mail Stop: Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Response to Notice to File Missing Parts (in dup.), Copy of Notice to File Missing Parts, Combined Declaration and Power of Attorney, Submission of Foreign Priority Document, Priority Document (JP2003-088618)
- ☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☒ A late filing fee or oath or declaration surcharge in an amount of \$130 under 37 C.F.R. § 1.16(e).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

## Fee payment:

- ☒ A check in the amount of \$130 to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)

July 23, 2004  
Date



hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on July 23, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of applicant, assignee or  
Registered Representative

Signature

July 23, 2004

Date of Signature

Case No. 9683/180

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kazuo SUGIMOTO  
Fulvio MOSCHETTI  
Choong Seng BOON

Serial No.: 10/810,792

Filed: March 26, 2004

For: VIDEO ENCODING APPARATUS,  
VIDEO ENCODING METHOD,  
VIDEO ENCODING PROGRAM,  
VIDEO DECODING APPARATUS,  
VIDEO DECODING METHOD AND  
VIDEO DECODING PROGRAM

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application dated June 7, 2004, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant:
- ☐ claims small entity status. See 37 CFR1.27.
- ☒ is other than small entity
- ☒ A check in the amount of \$130 for:
- ☐ Filing Fee of \$\_\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: July 23, 2004

  
Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

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